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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 260.00)

## Complete if Known

Application Number	09/943,465
Filing Date	August 31, 2001
First Named Inventor	HALL, Dennis G.
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	P287-USA1 (formerly P287-US1)

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **195113**  
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

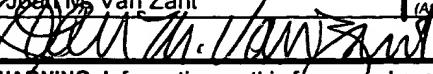
## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	130.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				Petition under 37 C.R.F. Section 1.53(e) 130.00
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 260.00)

\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 260.00)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	Telephone	Date
John M. Van Zant		25815	(416) 216-1868	December 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,465	08/31/2001	Dennis G. Hall	P287-US1

CONFIRMATION NO. 9422

## FORMALITIES LETTER



\*OC00000006983737\*

Swabey Ogilvy Renault  
 Suite 1600  
 1981 McGill College Avenue  
 Montreal, QC H3A 2Y3  
 CANADA

Date Mailed: 10/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$130.**

The following item(s) appear to have been omitted from the application:

- Figure(s) 22 and 24 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the



original disclosure of the invention will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

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**Customer Service Center**

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## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



Practitioner's Docket No. P287-USa1 (previously P287-US1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: HALL, Dennis G.

Application No.: 09/943,465 Group Art Unit: N/A

Filed: August 31, 2001 Examiner: N/A

For: PREPARATION AND USES OF CONJUGATED SOLID SUPPORTS FOR BORONIC ACIDS

December 17, 2001

Commissioner for Patents  
Washington, D.C. 20231

**Response to Notice to File Missing Parts  
of Nonprovisional Application**

Dear Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed on October 29, 2001.

Transmitted herewith are the following:

1. Executed Combined Declaration for Utility Patent Application and Power of Attorney, submitted within a two month period of response as set out in the Notice to File Missing Parts;
2. Petition under 37 C.F.R. Section 1.53(e) to establish Prior Receipt in the P.T.O. of Items Considered as Omitted by the P.T.O., including Evidence of deposit of items with application indicated as omitted in the notice, in response to the Notice to File Missing Parts mailed October 29, 2001;
3. Two copies of the Fee Transmittal Form PTO/SB/17 in respect of the late oath or declaration charge of \$130.00 and the required fee of \$130.00 to file a Petition under 37 C.F.R. Section 1.53(e) in response to the Notice to File Missing Parts noting omitted items mailed October 29, 2001;
4. Two copies of the Notice to File Missing Parts dated October 29, 2001;

Serial No. 09/943,465  
December 17, 2001  
Page 2

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5. A copy of Figures 22 and 24 as originally filed with the application in the P.T.O. on August 31, 2001.
6. Return receipt card.

The two-month period of response expires on December 29, 2001. The submission of the foregoing is before the two-month and is therefore believed to be timely.

Should any additional fees be required with respect to the foregoing, please consider this an authorization to charge our Deposit Account No. 195113 accordingly.

Acknowledgement of the return receipt card included with our correspondence is requested. Should any Patent Official wish to telephone us, the call should be placed to Joan M. Van Zant at (416) 216-1868.

Respectfully submitted,



Joan M. Van Zant  
Reg. No.: 25815

Telephone No.:(416) 216-1868

Ogilvy Renault  
1981 McGill College Avenue  
Suite 1600  
Montréal, Québec  
Canada H3A 2Y3

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December 17, 2001

**Practitioner's Docket No. P287-USa1 (previously P287-US1)**

**EVIDENCE OF DEPOSIT OF ITEM(S) WITH APPLICATION INDICATED AS OMITTED IN  
THE "NOTICE TO FILE MISSING PARTS"**

In connection with the "Notice of to File Missing Parts" noting omitted items mailed October 29, 2001, applicant submits the following evidence that the item(s) indicated as omitted were in fact deposited with the P.T.O. on August 31, 2001, which is the original date on which the papers for this application were deposited:

1. A photocopy of a date stamped return post card receipt showing thereon that 14 pages of drawings were received in the U.S.P.T.O. on August 31, 2001. The return post card was date stamped by the O.I.P.E. on August 31, 2001 upon receipt by hand delivery of U.S. Non-provisional patent application No. 09/943,465.
2. A photocopy of a subsequent date stamped return post card in respect of U.S. Non-provisional patent application No. 09/943,465 mailed by the P.T.O. to the Agent of Record indicating that 13 pages of drawings were received in the U.S.P.T.O. on August 31, 2001, rather than 14 pages of drawings.

Applicant submits that the first date stamped return receipt card which itemized and properly identified 14 pages of figures as being filed serves as *prima facie* evidence of receipt in the U.S.P.T.O. of all items listed thereon on the date stamped thereon by the Patent Office.

Respectfully submitted,

  
\_\_\_\_\_  
Joan M. Van Zant  
Reg. No.: 25815

Telephone No.:(416) 216-1868  
Ogilvy Renault  
1981 McGill College Avenue, Suite 1600  
Montréal, Québec  
Canada H3A 2Y3

CASE No. P287-US1

SERIAL No. \_\_\_\_\_

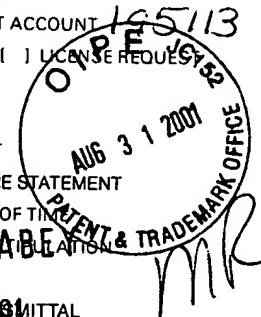
FILED: August 31, 2001

INVENTOR: Dennis G. Hall

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below.

AFFIDAVIT       AMENDMENT       APPEAL NOTICE  
 APPLICATION PAPERS - OATH/DECLARATION  
TOTAL CLS. 84 IND. CLS. 12 FEE \$ 2582.00  
 14 SHEETS OF DRAWING  
 ASSIGNMENT       BRIEF  
 CERTIFICATE OF CORRECTION  
 CLAIM OF PRIORITY AND CERTIFIED COPIES  
 DECLARATION  
 ISSUE FEE - BASE/BALANCE  
 LETTER - CHARGE DEPOSIT ACCOUNT 165/13  
 LETTER       LICENSE REQUEST  
 MOTION  
 PETITION  
 PRELIMINARY STATEMENT  
 INFORMATION DISCLOSURE STATEMENT  
 REQUEST FOR EXTENSION OF TIME  
 REPORTS  
 RECEIVED SWABEE 10/10/2001  
 SWORN TRANSLATIONS  
 MAINTENANCE FEE TRANSMITTAL  
 10/10/2001  
 OGILVY RENAULT

Check No. \_\_\_\_\_ \$ \_\_\_\_\_



*Joan Van Zant*

CASE No. P 287 - L/S 1

SERIAL No. \_\_\_\_\_

FILED: August 31, 2001

INVENTOR: Dennis G. Hall

The Patent & Trademark Office acknowledges, and has stamped hereon the date of receipt of the items checked below.

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 AMENDMENT       APPLICATION PAPERS - OATH/DECLARATION  
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 SWEORN TRANSLATIONS  
 MAINTENANCE FEE TRANSMITTAL

Check No. \_\_\_\_\_ \$ \_\_\_\_\_

J1040 U.S. PTO  
09/943465



08/31/01

The PTO has received the following items:  
Listed item(s) - 14 pages drawing  
only 13 pages